



Attorney Docket No. SON-1680 Date: October 29, 1999

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

The fee has been calculated as shown below:

Sir:				
Transmitted herewith for filing is the patent application of				
Inventor: YOSHIYUKI NAKAMURA and HIROYUKI YASUDA				
For: SYSTEM FOR TRANSFERRING DATA BETWEEN APPLICATION SYSTEMS				
Enclosed are:				
☑ Specification and Claim(s).				
☑ Oath or Declaration (unexecuted).				
Eight sheet(s) of drawings.				
An assignment of the invention to				
☐ Copy of <u>one</u> priority application(s).				
☐ Associate Power of Attorney.				

CLAIMS AS FILED						
			RATE	BASIC FEE		
FOR	NUMBER FILED	NUMBER EXTRA		\$380/\$760		
TOTAL			X \$ 9			
CLAIMS	3-20	0	\$18	\$		
INDEP.			X \$39			
CLAIMS	1-3	0	\$78	\$		
Fee for Multip	0					
			TOTAL			
			FILING FEE	\$760.00		

	A Preliminary Amendment is attached.				
	Verified Statement claiming small entity status is enclosed.				
\boxtimes	Charge \$ 760.00 to Deposit Account No. 18-0013 to cover the filing fee. A duplicate copy of this sheet is enclosed.				
X	The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.16 or 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 18-0013. A duplicate copy of this sheet is enclosed.				
	A check in the amount of \$ cover the filing fee is enclosed.				
	Charge \$ to Deposit Account No. 18-0013 to cover the recordal fee. A duplicate copy of this sheet is enclosed.				
X	Applicant's undersigned attorney may be reached by telephone in our Washington D.C. Office at				
	(202) 955-3750.				
All correspondence should be directed to our below listed address.					
	Ronald P. Kananen Reg. No. 24,104				

RADER, FISHMAN & GRAUER, P.L.L.C 1233 20th Street, NW, Suite 501 Washington, DC 20036 Telephone: (202) 955-3750 Facsimile: (202) 955-3751

SYSTEM FOR TRANSFERRING DATA BETWEEN APPLICATION SYSTEMS

BACKGROUND OF THE INVENTION

The present invention relates to a system for transferring data between application systems.

Illustratively, as shown in Fig. 8, in an environment where a plurality (n) of application systems (simply called systems hereunder where appropriate) are configured to operate, it may be desired to transfer data between any two of the configured systems. In that case, the number of connections through which the data flow known as the connection count is defined as nC2.

Therefore, the connection count is merely 3 when the number (n) of systems is 3, and 10 when n = 5. When n = 10, however, the connection count amounts to 45 and when n = 100, the connection count is as high as 4,950. That is, as the system count (n) increases, the connection count that must be recorded and managed by a system administrator grows on a massive scale.

However, such explosive leaps in the connection count necessarily involve raising the number of steps in which to manage recordings, changes and other settings for operating the systems properly and for transferring data correctly therebetween. In particular, where "n"

systems are in operation and a given system is relocated, the settings of as many as (n-1) systems must be changed.

Thus the more complex the environment becomes for systems to operate in, the greater the costs for properly transferring data therebetween.

SUMMARY OF THE INVENTION

It is therefore an object of the present invention to overcome the above and other deficiencies of the prior art and to provide a novel system for transferring data between application systems.

In carrying out the invention and according to one aspect thereof, there is provided a system for transferring data between application systems, the system comprising a mediating system centrally located among a plurality (n) of application systems configured to function in an application operating environment, the mediating system supporting data transfers between the application systems.

With the inventive system in place, the connection count to be managed amounts to a mere "n."

Other objects, features and advantages of the invention will become more apparent upon a reading of the

following description and appended drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a schematic flow diagram of an embodiment of the present invention;
- Fig. 2 is a schematic view for explaining the present invention;
- Fig. 3 is another schematic view for explaining the present invention;
- Fig. 4 is another schematic view for explaining the present invention;
- Fig. 5 is another schematic view for explaining the present invention;
- Fig. 6 is a flowchart of steps in which the embodiment of the present invention typically operates;
- Fig. 7 is a schematic flow diagram of another embodiment of the present invention; and
- Fig. 8 is another schematic view for explaining the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

In Fig. 1, reference numeral 10 denotes a system (application system) each. These systems 10 are configured to set up a system operating environment. They

may be of any type: old or new, large or small, readymade or custom-made, as long as they are capable of
transferring data therebetween. A system 20 for mediating
data to be distributed is placed in this system operating
environment. The system 20 is called the mediating system
or the broker hereunder.

Hardware in which to implement the broker 20 may be of any type as long as it supports necessary functions. Software for operating the broker 20 illustratively comprises such components as a broker program, an operating system, messaging middleware, networking middleware and a database, as outlined in Fig. 2.

The operating system oversees the entire system processing. The network layer deals with communication services between programs as well as between systems, and controls communication hardware. The messaging middleware layer supports asynchronous communication of data in units of messages between programs as well as between systems. The database is provided to retain data in a manner permitting rapid search therethrough. More specifically, the database is provided to implement the function of determining data transfer destinations. Any of these components may be included in the broker 20 as part of its functionality.

As shown illustratively in Fig. 3, the broker 20 with its broker program implements eight function groups. These function groups comprise a total of, say, 33 functions as indicated in Fig. 4.

Of the eight function groups, those most basic deal with data transmission and data transmission path determination. That is, the broker 20 always provides at least two function groups: a data transmission function group, and a data transmission path determination function group.

The transmission function group supports data transfers from the broker 20 to the systems 10 and from the systems 10 to the broker 20. The group also interfaces over a network any two systems operating in different architecture environments. The transmission function group utilizes messaging middleware.

The transmission path determination function group selects a system 10 to be connected to the broker 20 in accordance with a destination name attached to data, and transmits the data to the correct destination. Logical names are used to represent destination names so that any negative impact resulting from system changes is reduced.

Where the broker 20 mediates data between "n" systems 10, the broker 20 has at least one data entry and

at least "n" data exits. The data exits correspond to the logical names of the systems 10 on a one-to-one basis, and a table holding such correspondences is retained in the database.

How the broker 20 illustratively operates is described below with reference to the flowchart of Fig. 6.

In step 101, the broker 20 checks the presence of data to be mediated at a data entry. In step 102, a check is made to see if the data exist. If no data are found, step 101 is reached again and the arrival of data is awaited.

When data to be mediated are found at the data entry, step 102 is followed by step 103 in which the data are read into a storage area. In step 104, the data are separated into a header and a body.

In step 105, a data destination is read from the data header. In step 106, the database is searched using the destination name as the key. When the destination is found, step 107 is reached in which a physical data exit name is determined. In step 108, the data are output to the physical data exit for transmission to the ultimate destination. When more data are subsequently provided, step 101 and the ensuring steps are repeated.

As described, the data transfer system of Fig. 1

allows any two of "n" application systems 10 to be connected. In that case, the number of connections to be managed is only "n," a significant reduction can be achieved in managing steps compared with a conventional system configuration of Fig. 8 wherein as many as nC2 connections need to be managed. The larger the number (n) of application systems 10 configured, the more appreciable the number of management steps eliminated.

It follows that where numerous application systems

10 are configured aggressively to build a newly

integrated system, any increase in the number of steps

for carrying out maintenance and other management-related

activities is reduced.

Fig. 7 is a schematic flow diagram of another embodiment of the invention, showing connective relations between a broker 20 and various systems 10 and including programs called adapters for assisting such connections. In that setup, the broker 20 has a database that retains destination names for the purpose of mediating data to be transferred.

The setup above may comprise additional functions:

a logging function for keeping logs related to the

mediation of data to be transferred; a data backup

function for data backup in preparation for possible

troubles in the broker 20 or in the application systems 10; an error handling function for error recovery in the event of, say, destination names missing; and a response function for responding to such requests as a status query from any of the application systems 10.

As described, the inventive system drastically reduces the number of steps necessary for management activities when any two of a plurality of application systems are to be connected.

As many apparently different embodiments of this invention may be made without departing from the spirit and scope thereof, it is to be understood that the invention is not limited to the specific embodiments thereof except as defined in the appended claims.

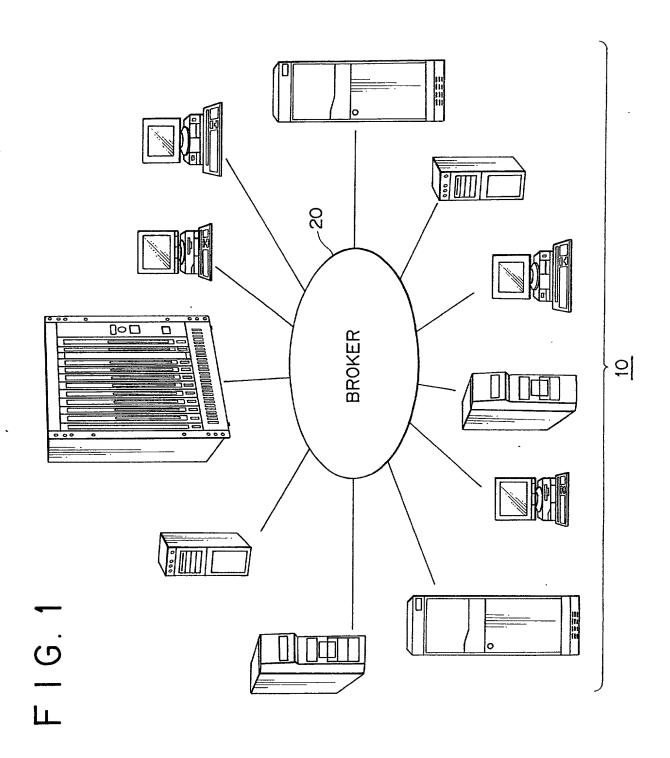
What is Claimed is:

- 1. A system for transferring data between application systems, said system comprising a mediating system centrally located among "n" application systems configured to function in an application operating environment, said mediating system supporting data transfers between said application systems.
- 2. A system for transferring data between application systems according to claim 1, wherein said mediating system includes:
- a transmission function group for receiving data from an originating application system and for transmitting the received data to a destination application system; and
- a transmission path determination function group for selectively determining said destination application system in accordance with a destination name attached to said data.
- 3. A system for transferring data between application systems according to claim 2, wherein said mediating system includes:
- at least one data entry through which to receive data from said originating application system; and
 - at least "n" data exits which are connected to said

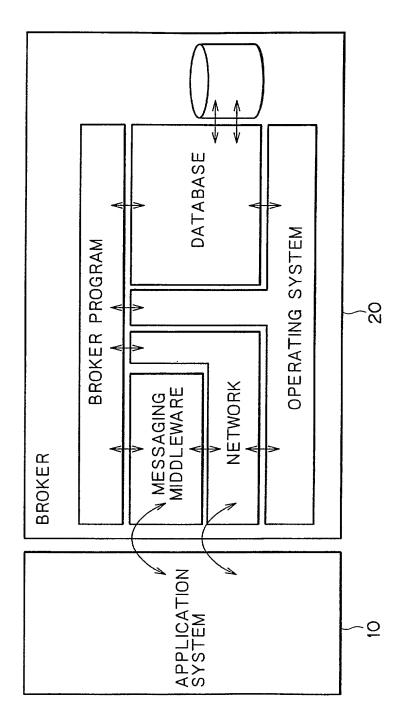
"n" application systems and through which to transmit the data received through said data entry.

ABSTRACT OF THE DISCLOSURE

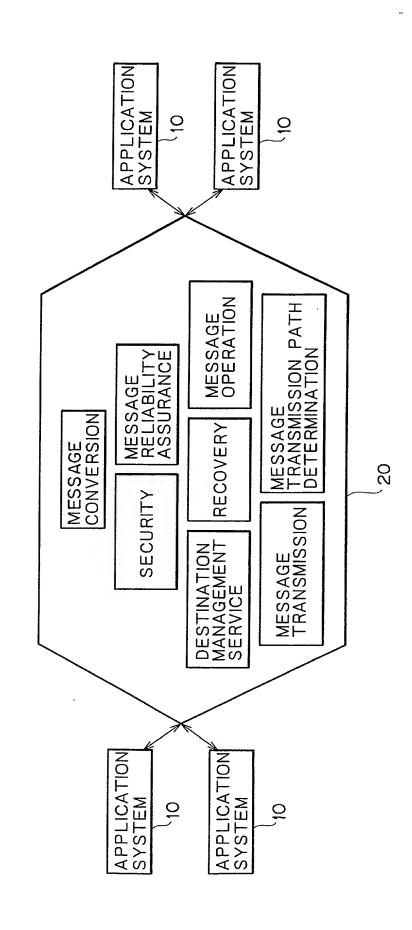
A system for transferring data between application systems. The system comprises a mediating system centrally located among a plurality of application systems configured to function in an application operating environment. The mediating system supports data transfers between any two of the configured application systems.



F G. 2



F G. 3



MESSAGE TRANSMISSION
ASYNCHRONOUS MESSAGE TRANSMITION
SYNCHRONOUS MESSAGE TRANSMISSION
BULK DATA TRANSMISSION

MESSAGE TRANSMITION PATH DETERMINATION
DELIVERY BY DESTINATION
MESSAGE SEQUENCE RETENTION
MULTIPLE DESTINATIONS
PUBLICATION/SUBSCRIPTION
WORK FLOW
MESSAGE TRANSLATION SUPPORT
DELIVERY BY CONTENT

DESTINATION MANAGEMENT SERVICE DESTINATION MANAGEMENT SERVICE

MESSAGE RELIABILITY ASSURANCE NOTIFICATION TRANSACATION STATUS MANAGEMENT,

F | G. 4

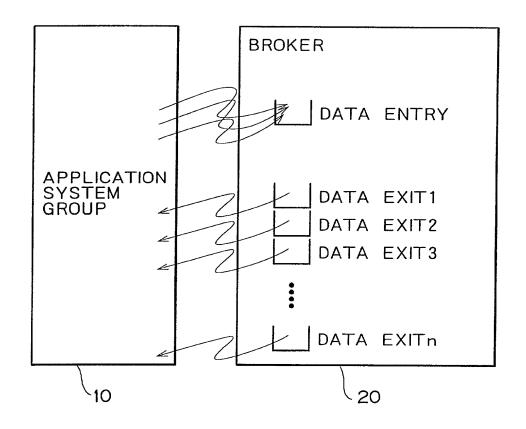
MESSAGE OPERATION
PRIORITY SEQUENCE
TIME-DESIGNATED TRANSMISSION
COMPRESSION
APPLICATION ACTIVATION
LOAD DISTRIBUTION
COMMUNICATION STATUS CHECK
GROUPING
PERIOD OF VALIDITY
COMMIT/ROLLBACK

MESSAGE CONVERSION CHARACTER CODE CONVERSION MESSAGE TRANSFER LAYOUT CONVERSION

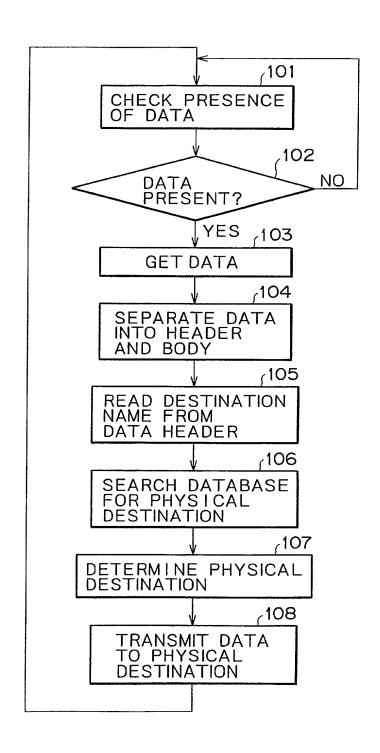
SECURITY
VERIFICATION
AUTHORIZATION
ENCRYPTION

RECOVERY
EVENT HISTORY RETENTION
EVENT NOTIFICATION
MESSAGE HISTORY RETENTION
MONITORING
AUTOMATIC MESSAGE RETRANSMISSION,

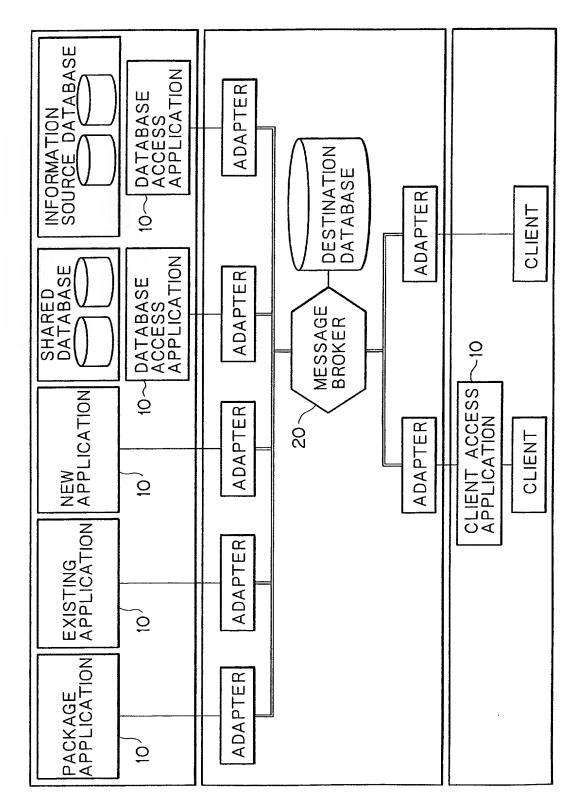
F I G. 5

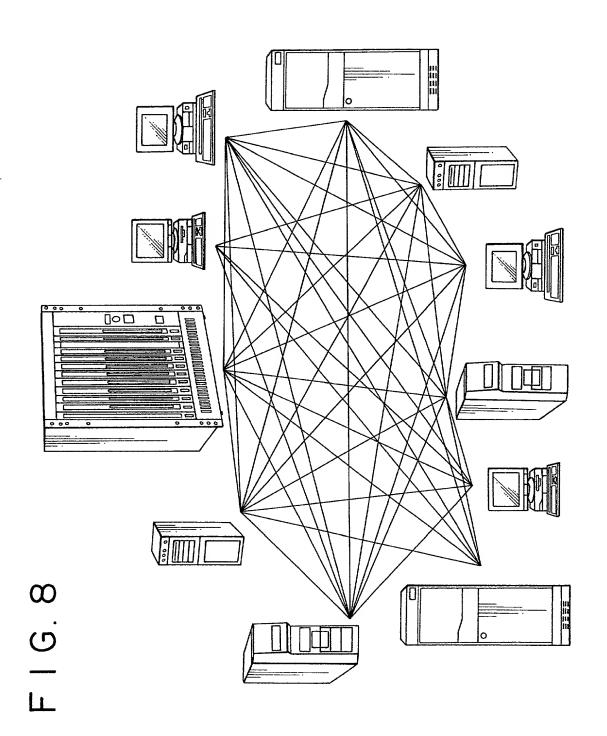


F I G. 6



F 1 G. 7





SON-1680

Attorney's Docket No. **DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION English Language Declaration**

As below named	inventors, we here	eby declare that:	
	•	ss and citizenship are as sta	ated below next to our
names.	_	TWEEN APPLICATION SYSTEMS	
		first and joint inventors of s sought on the invention ent	
the specificati	on of which		
(check one)			
□ is attached	hereto.		
□ was filed o	n		as
Application S and was amend	Serial No led on		
I hereby state specification,	that I have revie including the cla	wed and understand the conterims, as amended by any amendr	nts of the above identified ment referred to above.
		se information which is mater eral Regulations, §1.56.	rial to patentability as
foreign application identified below	ation(s) for paten ow any foreign app	benefits under Title 35, Unit t of inventor's certificate I lication for patent or invent pplication on which priority	listed below and have also cor's certificate having a
Prior Foreign A	application(s)		Priority Claimed
P10-311812 (Number)	JAPAN (Country)	02/11/1998 (Day/Month/Year Filed)	- X Yes No
(Number)	(Country)	(Day/Month/Year Filed)	- Yes No
(Number)	(Country)	(Day/Month/Year Filed)	Yes No
States applicated claims of this the manner provacknowledge the of Federal Regularity	cion(s) listed beliapplication is no vided by the first duty to disclose alations, §1.56 an	r Title 35, United States Coo ow and insofar as the subject t disclosed in the prior Unit paragraph of Title 35, Unite material to patentability as d 1.63(d) which became availant national or PCT internations	t matter of each of the ted States application in ed States Code §112, I s defined in Title 37, Code able between the filing date
(Application Se	rial No.)	(Filing Date) (pa	(Status) atented, pending, abandoned)
all statements these statement so made are pur of the United S	made on informati s were made with mishable by fine o States Code and th	ments made herein of our own on and belief are believed to the knowledge that willful for imprisonment, or both, under at such willful false statement patent issued thereon.	o be true, and further that alse statements and the like er Section 1001 of Title 18

English Language Declaration

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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Second Inventor's signature	Date				
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Full name of third joint inventor					
Third Inventor's signature	Date				
Residence					
Citizenship					
Post Office Address					